

FFT Summary

NB Power Audit - March to September 2015

Entity	NBCRN	EUBVTN	Standard	Req	Compliance Issue	Risk Assessment	Mitigation Action
New Brunswick Power Corporation (NB Power)	NBCR001	2015001	EOP-004-2	R3	During a compliance audit conducted by NPCC from March 25, 2015 through September 22, 2015, it was discovered that NB Power, as a Generator Owner (GO) and Generator Operator (GOP), had a compliance issue relating to EOP-004-2 R3. EOP-004-2 R3 requires each Responsible Entity to annually validate all contact information contained in their Operating Plan. NB Power failed to provide evidence that they verified all emergency contact information in 2014. The duration of the compliance issue was from January 1, 2015 through December 3, 2015 when the contact information was validated.	NB Power had documented procedures to require the contact information be validated annually but could not provide evidence of validation for 2014. However only one contact number was found to be incorrect and no events occurred that required the use of the Operating Plan during that time. As a result, the issue is deemed to have posed a lower risk to the reliability of the BPS. No harm is known to have occurred.	To mitigate this compliance issue, NB Power: 1) Performed the verification of the contact list in their Operating Plan for 2015 and 2016; and 2) Revised their Generation Procedure with a Generation specific contact list. The revised procedure includes steps to validate contact information and to keep records of the validation.

Entity	NBCRN	EUBVTN	Standard	Req	Compliance Issue	Risk Assessment	Mitigation Action
New Brunswick Power Corporation (NB Power)	NBCR001	2015002	PRC-005-1.1b	R2	During a Compliance Audit conducted by NPCC from March 25, 2015 to September 22, 2015, it was discovered that NB Power, as a Generator Owner (GO) and Generator Operator (GOP), had a compliance issue relating to PRC-005-1.1b R2. PRC-005-1.1b R2 requires a GO that owns a generation or generator interconnection Facility Protection System to perform protection system maintenance and testing according to the intervals in their maintenance and testing program and to provide documentation of the maintenance and testing.. NB Power failed to perform maintenance on 9 devices within the intervals documented in their maintenance and testing program.	NB Power did not test 9 out of 99 devices within the documented timeframe because the devices were not identified on their mandatory testing database. However, the testing intervals required under PRC-005-1.1b were modified with the coming into effect of PRC-005-2 in 2015 and the 9 devices that exceeded the testing intervals under PRC-005-1.1b would have been acceptable under PRC-005-2. As a result, the issue is deemed to have posed a lower risk to the reliability of the BPS. No harm is known to have occurred.	To mitigate this compliance issue, NB Power: 1) Updated their asset health database to correctly identify protection system equipment having mandatory compliance obligations. 2) Documented and implemented an internal control process for periodic database review and proactive monitoring of PRC-005 maintenance activities. 3) Completed testing of the 9 devices that exceeded their respective maintenance intervals.